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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/660,128	09/11/2003	Avi Ashkenazi	P1245R1P2BC1

09157  
 GENENTECH, INC.  
 1 DNA WAY  
 SOUTH SAN FRANCISCO, CA 94080



CONFIRMATION NO. 7401

## FORMALITIES LETTER



\*OC000000011431545\*

Date Mailed: 12/05/2003

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
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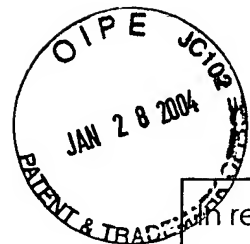
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*A copy of this notice MUST be returned with the reply.*

*Z. Arap*  
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Customer Service Center  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Patent Docket P1245R1P2BC1

seq list

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Avi Ashkenazi et al. Serial No.: 10/660,128 Filed: September 11, 2003 For: DR4 Antibodies and Uses Thereof	Group Art Unit: 1646 Examiner: to be assigned Confirmation No: 7401 <b>Customer No: 09157</b>
	<b>CERTIFICATE OF EXPRESS MAILING</b> Express Mail Number: <u>EV 351 932 171 US</u> I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450". January <u>28</u> , 2004 <u>Diane L. Marschang</u> Diane L. Marschang

**TRANSMITTAL LETTER**

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Sir:

Transmitted herewith are the following documents:

1. Response to Notice to Comply and Preliminary Amendment;
2. Certificate Re: Sequence Listing;
3. Letter and Request to Use Computer-Readable Sequence Listing;
4. Sequence Listing (paper copy);
5. Notice to Comply (copy);
6. Return postcard.

In the event any additional fees are due in connection with the filing of these documents, the Commissioner is authorized to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: January 28, 2004

By: Diane L. Marschang  
Diane L. Marschang  
Reg. No. 35,600  
Telephone No. (650) 225-5416

#148369